

Sustaining Ethical Aquaculture Trade

Aims of the Inception Workshop

Hotel Novotel Rim Pae

13th-18th Jan 2010

Overview of workshop objectives



1. Understanding project management systems and individual responsibilities



2. Sharing knowledge : SEAT concepts & inter-disciplinarity



3. Implementation of Work Package 2: Scoping and systems analysis



4. Initiate networking amongst partners

No detail on work package activities (except WP2)

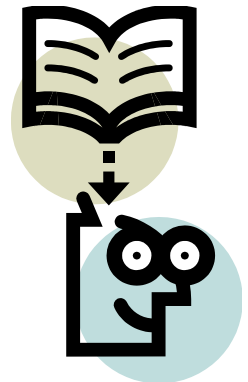
1. Project Management

- Consortium agreement
 - Governance structure
 - Partner responsibilities and liabilities
 - Publications and IP
- Financial procedures
- EU reporting procedures
- Sub-contracting, other collaboration, internships
- Risk assessment
- Communication strategy
- Time allocation against deliverables



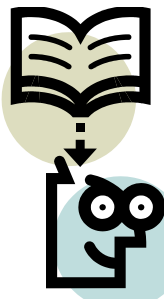
2. Shared understanding of SEAT concepts

- Inter-disciplinarity v multi-disciplinarity
- More technical packages – greater familiarity?
- *Day 1 – Core concepts*
 - **Stirling**: Sustainability concepts
 - **DIIS**: Global Value Chain (GVC) analysis
 - **Leiden**: Life Cycle Analysis (LCA)
 - **UoB**: Participatory Ethical Methods for sustainability measurement & the EAFI



2. Shared understanding – cont.

- *Day 2 - Value chain knowledge asymmetries, barriers to trade and stakeholder impacts*
- **WWF:** Market based standards: engaging small-holder farmers in WWF dialogues
- **CEFAS:** The EU ‘hygiene package’
- **FAO:** The role of information technology (IT)
- *Day 2 - **Stirling:** Action research methodology (WP9) & information dissemination (WP12)*

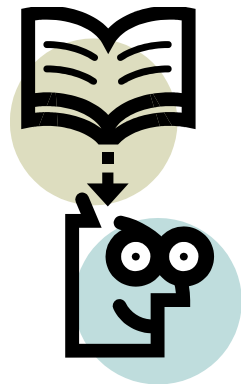


2. Shared understanding – cont.

- *Day 2 Asian contexts*

-Asian partners China, Vietnam, Thailand
Bangladesh:

- Institutional profile and SEAT personal
- Other resource provision
- Short summaries of four project species (WP2)



3. Work Package 2 - Scoping

- Already underway, all partners involved
- Identify secondary knowledge gaps and needs for primary data collection
- Stakeholders for action research
- *Day 3 - local field trips as case studies*



4. Networking

- Meet the gang!
 - Workshop activities
 - Evening meals:
 - Thur hotel and Fri Rayong
- Post workshop
 - Communication strategy



The Consortium Agreement

SEAT Inception Workshop

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What is it?

- Formal rules for participation in FP7 projects governance, IP and dissemination of results – supplement to the Grant Agreement (GA)
- To ensure efficient and timely implementation
- Clarify responsibilities and liabilities
- Draft based on EC regulation No. 1906/2006
- Terms negotiable e.g. reflecting institutional norms
- Effective/ legally binding on signature of all consortium institutions (by legal entity)

Organisational Structure

- **Project co-ordination team (PCT)**
 - day to day co-ordination, overall decision making role consulting partners where possible
 - Stirling: Dave, Francis, Iain
- **Project Administration Group (PAG)**
 - Advisory to PSG - operational activities inc. travel
 - Meet as required (share minutes)
- **Project Steering Group (PSG)**
 - Supervisory body - reports to co-ordinator who decides on proposals
 - Meet at least half yearly (share minutes) - nominations?
- **Veto rights; if performance, costs, IP affected**

Work Package (WP) Committees

- Tasks: Review quality and ensure timely reporting to co-ordinator and PSG
- Advance warning of delays of other party BoC to co-ordinator and PSG
- Implementation plans (changes to Tech Annex to be reviewed by PSG)

Breach of contract (BoC)

- Serious breach of obligations under CA or GA
- Written notice from co-ordinator requiring remedy within thirty days
- Worst-case termination of participation and refund of costs

Intellectual property rights

- Distinguishes between:
 - Background: existing knowledge shared by partners
 - Foreground: primary knowledge generated by project
- Recipient liable for third party propriety rights
- Terms for joint ownership, transfer, dissemination of 'foreground'

Justifying and funding costs

- Each party has sole responsibility (not co-ordinator) - usual institutional practices
- Funding of eligible costs only
- 100% eligible: banking, IP, dissemination, chairpersons
- Payments: pre-financing and 2 interim

What's next?

- Consult on draft with your relevant institutional legal representatives
- Forward proposed modifications to Stirling by end of Jan 2010
- Stirling to circulate final draft (following dialogue) – by mid Feb 2010
- All parties to sign off by end of Feb 2010